## **Holding of specified securities**

## Annexure - I

1. Name of Listed Entity :	Swarnsarita Gems Ltd.
2. Scrip Code/Name of Scrip/Class of Security:	526365 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	31-12-2019
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the	
extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the	
partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts,	
locked-in shares, No of shares pledged or otherwise encumbered by promoters, as	
applicable, shall not be displayed at the time of dissemination on the Stock Exchange	
website. Also wherever there is 'No' declared by Listed Entity in above table the values	
will be considered as 'Zero' by default on submission of the format of holding of	
specified securities.	

Name of the Company: Swarnsarita Gems Ltd. Script Code :

Equity 31-12-2019 As on:

## Table I - Summary Statement holding of specified securities

	Category of shareholder (II)	Nos. of shareholders (III)			No. of shares	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of V	seci	ghts held in each urities IX)	class of	No. of Shares Underlying	Shareholding , as a % assuming full conversion of convertible	(XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of
Category (I)			No. of fully paid up equity shares held	shares held	underlying Depository Receipts			No of Voting Rights  Total		Outstanding convertible securities (including	securities ( as a percentage of diluted share		As a %		As a	equity shares held in dematerialized		
			(IV)	(V)	(VI)			Class eg:X	Class eg:Y	Total	as a % of (A+B+ C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	of total Shares held (b)	No. (a)	total Shares held (b)	form (XIV)
(4	Promoter & Promoter Group	1	9357187			9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(1	Public	6118	11519613			11519613	55.18	11519613		11519613	55.18		55.18					11129088
(0	Non Promoter- Non Public																	
(C	Shares underlying DRs																	
(C	Shares held by Employee Trusts																	
	Total	6119	20876800			20876800	100	20876800		20876800	100		100			6263040	30	20486275

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)					y (VII =	SCRR, 1957 As a % of (A+B+C2)			nts held in eac rities X)	ch class of	No. of Shares	Shareholding , as a % assuming full	sh	of Locked in nares XII)	pledged or encun	of Shares r otherwise nbered III)	Number of
		No. of	No. of fully paid up	Partly paid-	Nos. of shares			No of Voting Rights				Underlying Outstanding	conversion of convertible					equity shares held
		shareholder (III)		up equity shares held (V)	underlying Depository Receipts (VI)			Class X	ClassY	Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	in dematerializ ed form (XIV)
-1	Indian		0	0		0		0		0								
(a)	Individuals/Hindu undivided Family		0	0		0		0		0								
(b)	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
(d)	Any Other (specify)	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
	SWARNSARITA JEWELLERS PVT LTD	1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
Sub-Total (A)(1)		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
	Foreign		0	0		0		0		0								
	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0		0								
(b)	Government		0	0		0		0		0								
(c)	Institutions		0	0		0		0		0								
(d)	Foreign Portfolio Investor		0	0		0		0		0								
(e)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(2)			0	0		0	ı	0		0								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)		1	9357187	0		9357187	44.82	9357187		9357187	44.82		44.82			6263040	66.93	9357187
(2)															+			
	Details of Shares which rem	ain unclaimed	may be given	hear along v	ith details suc	h as number	of shareholde	rs, outstandin	g shares held	in demat/uncl	laimed susper	ise account. vo	ing rights which	are frozen e	tc.Note:(1) PA	N would not b	e displayed o	n website of

Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

					up underlyi ty ng es Deposito l ry		Sharehol ding % calculate d as per	Number of Vo	seci	ghts held in each ourities	class of	· No. of Shares	Shareholding , as a % assuming full	(XII)		Number of pledged or encum (XI	otherwise bered		
			No. of fully paid	Partly paid-up								Underlying Outstanding	conversion of convertible					Number of equity shares	
	Category & Name of the Shareholders (I)	No. of shareholder (III)	up equity shares held (IV)	equity shares held (V)		shares held (VII = IV+V+VI)	SCRR, 1957 As a % of (A+B+C 2) (VIII)	Class X	ClassY	Total	Total as a % of Total Voting rights	convertible securities (including Warrants) (X)	securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	No.	As a % of total shares held (b)	held in dematerialized form (XIV)	
-1	Institutions		0	0	1	0		0		0									
(a)	Mutual Funds		0	0	)	0		0		0									
(b)	Venture Capital Funds		0	0		0		0		0									
(c)	Alternate Investment Funds		0	0		0		0		0									
(d)	Foreign Venture Capital Investors		0	0		0		0		0									
	Foreign Portfolio Investors	1	700	0		700		700		700	0		0					700	
	Financial Institutions/ Banks Insurance Companies	1	700	0		700		700		700	U		U					700	
(g) (h)	Provident Funds/ Pension Funds		0	0		0		0		0									
	Any Other (specify)		0	0		0		0		0									
Sub-Total (B)(1)	Any Other (specify)	1	700	0		700		700		700	0		0					700	
	Central Government/ State																		
-2	Government(s)/ President of India		0	0	1	0		0		0									
Sub-Total (B)(2)			0	0		0		0		0									
-3	Non-institutions		0	0		0		0		0									
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	5807	2223736	0	,	2223736	10.65	2223736		2223736	10.65		10.65					1872611	
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	59	7296042	0		7296042	34.95	7296042		7296042	34.95		34.95					7296042	
	SUNNY MAHENDRA CHORDIA	1	209803	0		209803	1	209803		209803	1		1					209803	
	KANTADEVI MAHESHWARI	1	225000	0		225000	1.08	225000		225000	1.08		1.08					225000	
	ASHA M CHORDIA	1	230700	0		230700	1.11	230700		230700	1.11		1.11					230700	
	LUXMI KANT GUPTA	1	353464	0		353464	1.69	353464		353464	1.69		1.69					353464	
	MAHENDRA MADANLAL CHORDIA	1	353980	0		353980	1.7			353980	1.7		1.7					353980	
	PIYUSH DILIP NABERA	1	370673	0		370673	1.78	370673		370673	1.78		1.78					370673	
	SHIVAJIRAO S JONDHALE	1	370855	0		370855	1.78			370855	1.78		1.78					370855	
	JAGDISHCHANDRA JAJOO	1	483700	0		483700	2.32			483700	2.32		2.32					483700	
4)	SHEELA SUNIL KOTHARI NBFCs registered with RBI	1	663271	0		663271	3.18	663271		663271	3.18		3.18					663271	
	Employee Trusts		0	0		0		0		0									
	Overseas Depositories (holding DRs)		0	"		<u> </u>		- 0		U							1		
(d)	(balancing figure)		0	0		0		0		0									
(e)	Any Other (specify)	251	1999135	0		1999135	9.58	1999135		1999135	9.58		9.58					1959735	
	Foreign Nationals	1	200	0		200	0	200		200	0		0					200	
	Clearing Members	6	11101	0		11101				11101	0.05		0.05					11101	
	Non-Resident Indian (NRI)	100	78532	0	4	78532	0.38	78532		78532	0.38		0.38					39632	
	INVESTOR EDUCATION AND PROTECTION F	1	315518	0	)	315518		315518		315518	1.51		1.51					315518	
	IEPF	1	315518	0		315518	1.51	315518		315518	1.51		1.51					315518	
	GRACEUNITED REAL ESTATE PRIVATE LIM	1	381930	0		381930	1.83			381930	1.83		1.83					381930	
	Bodies Corporate	40		0		566289	2.71	566289		566289	2.71		2.71					566289	
	HUF	103	1027495	0		1027495	4.92	1027495		1027495	4.92		4.92					1026995	
Sub-Total (B)(3)		6117	11518913	0	1	11518913	55.18	11518913		11518913	55.18		55.18				1	11128388	
Total Public Shareholding (B)=(B)(1)+(B)(2)+( B)(3)		6118	11519613	0		11519613	55.18	11519613		11519613	55.18		55.18					11129088	
	Details of the shareholders acting as person	ons in Concert	including their S	hareholdi	ng (No. and	1 %):Details of Si	hares whic	h remain unclaim	ned may	be given hear alo	ng with de	etails such as n	umber of shareh	olders, outs	tanding	shares held	in demat/ı	ınclaimed	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1%

of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)		Partly paid-up equity shares held (V)		Total nos. shares held (VII =	Shareh olding % calculat	Number	ng Rights held in f securities (IX)	each class	No. of Shares Underlyin	Shareholding , as a % assuming full conversion of	in sha	res	Number of Shares pledged or otherwise encumbered		
			No. of fully paid up equity shares held (IV)		shares underlying		ed as per SCRR, 1957 As a % of (A+B+ C2) (VIII)	N Class X	ing Rights Total	Total as a % of Total Voting rights	( Diifstandi	convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	No. (a)	As a % of total Shares held (b)	No	As a % of total shares held (b)	Number of equity shares held in dematerialized form (XIV)
1	Custodian/DR Holder																
(a)	Name of DR Holder (if available)																
	Sub-Total [C](1)	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
(a)	Name (abc																
(a)	Sub-Total [C](2)	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0
	Total Non- Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0

Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Table V - Statement showing of significant beneficial owners (SBOs)													
	Details of the signifi	icant beneficial ow (i)	vners	Details of th	e registered owner (ii)	•	Details of he of the S company, wh	creation / acquisition of					
Sr. No.	Name	PAN	Nationality	Name	PAN	Nationality	Shares	Shareholding as a % of total no of shares ( calculated as per SCRR, 1957 As as % of (A+B+C2)	significant beneficial interest (iv)				